71

BOX PATENT APPLICATION ASSISTANT COMMISSIONER FOR PATENTS Washington, D.C. 20231

HAND DELIVERY PATENT

Case Docket No. 36856.596

Date: January 23, 2002

Sir:

Transmitted herewith for filing is the patent application of:

Inventor(s): Hideya HORIUCHI, Mamoru IKEURA, and Michio KADOTA

For: SURFACE ACOUSTIC WAVE APPARATUS

□ Applicant(s) claim(s) benefit under	35 U.S.C. § 119(e) of	United States provisional	application No.	filed	_

Enclosed are:

- 27 Pages of Specification
- (□ formal 🗷 informal) Sheet(s) of drawings
- Declaration and Power of Attorney ☐ Will follow.
- Form PTO-1595 and an Assignment of the invention to Murata Manufacturing Co., Ltd. of 26-10 Tenjin 2-chome, ☐ Will follow Nagaokakyo-shi, Kyoto-fu 617-8555, JAPAN
- ☐ A certified copy of <u>Japanese Patent Appln. No. 2001-058854</u>, filed on March 02, 2001, from which priority is claimed in the subject case pursuant to Rule 55b and 35 U.S.C. 119. 🗵 Will follow
- A verified statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27.
- Information Disclosure Statement, Form PTO 1449, and 3 cited reference(s).
- □ Change of Correspondence Address
- **Preliminary Amendment**
- × General authorization/request to Petition for Extensions of Time
- Return Postcard X

FOR:	NO. FIL	ED	NO. EXTRA	SMALL ENTITY RATE	SMALL ENTITY FEE		OTHER THAN SMALL ENTITY RATE	OTHER THAN SMALL ENTITY FEE
BASIC FEE TOTAL CLAIMS INDEP CLAIMS MULTIPLE DEP CLAIMS PRESENTED	21 2	-20= -3=	-1- -0-	X 9 X 40 X+ 130 TOTAL =	\$370.00 \$ \$ \$	OR OR OR OR	X 18 X 84 + 260 TOTAL:	\$740.00 \$18.00 \$-0- \$-0- \$758.00

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to cover the filing fee is enclosed. ☐ Check in the amount of \$_

☑ Please charge my Credit Card the amount of \$758.00. Please see the enclosed Credit Card Payment Form (PTO-2038)

☑ Credit Card Payment Form for \$40.00 covering the Assignment Recordation Fee is enclosed.

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☐ Any patent application processing fees under 37 CFR 1.17.

☐ The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).

☐ Any filing fees under CFR 1.16 for presentation or extra claims.

Respectfully submitted,

Joseph R. Keating Régistration No. 37,368

KEATING & BENNETT LLP 10400 Eaton Place, Suite 312 Fairfax, VA 22030

Telephone: (703) 385-5200 Facsimile: (703) 385-5080

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Hideya HORIUCHI, Mamoru IKEURA, and Michio KADOTA

For: SURFACE ACOUSTIC WAVE APPARATUS

CERTIFICATE OF HAND DELIVERY

BOX PATENT APPLICATION

Assistant Commissioner for Patents

Washington, D.C. 20231

Sir:

Date of Deposit: January 23, 2002

I hereby certify that an application for patent, including:

One-page cover sheet; 27 pages of Specification (which includes 21 claims and a one-page

Abstract); 4 Sheets of informal Drawings; an executed Combined Declaration and Power of Attorney;

a General Authorization/Request to Petition for Extensions of Time; a Credit Card Payment Form

(PTO-2038) authorizing a charge to my credit card in the amount of \$758.00 to cover the filing fee;

an executed Assignment document and Form PTO 1595, along with a Credit Card Payment Form

(PTO-2038) authorizing a charge to my credit card in the amount of \$40.00 to cover the assignment

recordation fee; an Information Disclosure Statement including 3 references; a Transmittal Letter

(Form PTO 1082); and Return Postcard are being deposited with the U.S. Patent Office at the

Customer Service Window of the U.S. Patent Office on the date indicated above and is addressed to

the Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

Date of Deposit: January 23, 2002